

FORM PTO-1390 (Modified)
(REV. 7-2005)

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER

97176

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

To Be Assigned

10/575636INTERNATIONAL APPLICATION NO.
PCT/KR2004/002649INTERNATIONAL FILING DATE
October 15, 2004PRIORITY DATE CLAIMED
October 16, 2003

TITLE OF INVENTION

**Method for Approving Electronic Payment Using the Short Message Service Including URL Call Back And System
For Implementing The Same**

APPLICANT(S) FOR DO/EO/US

Hwang

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 555565102 US

10/575636

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- AP20 Rec'd PCT/PTO 13 APR 2006**
- a. ☒ A check in the amount of \$ \$600.00 to cover the above fees is enclosed. A duplicate copy of this sheet is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:


SIGNATURE

Eric D. Cohen


NAME

38,110

REGISTRATION NUMBER

April 13, 2006

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Hwang			Docket No. 97176	
Application No. 10/575636 To Be Assigned	Filing Date April 13, 2006	Examiner To Be Assigned	Customer No. 24628	Group Art Unit To Be Assigned
Invention: Method For Approving Electronic Payment Using The Short Message Service Including URL Call Back And System For Implementing The Same				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px; margin: 10px 0;"> Express Mail Label EV555565102US; Transmittal Letter to the United States (DO/EO/US) Concerning Submission Under 35 U.S.C. 371; Preliminary Amendment; Specification (33 Pages); Formal Drawings (7 Sheets); Executed Declaration and Power of Attorney; Application Data Sheet; ISR; Recordation Form Cover Sheet; Executed Assignment; International Application No. PCT/KR2004/002649; Check \$640.00; and Postcard. </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <div style="margin-top: 20px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 40%;"> <p style="text-align: center;"><u>April 13, 2006</u></p> <p style="text-align: center;"><i>(Date)</i></p> </div> <div style="width: 50%;"> <p style="text-align: center;">Carl Stanley</p> <hr style="border: 0; border-top: 1px solid black;"/> <p style="text-align: center;"><i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <div style="margin-top: 10px;">  </div> <hr style="border: 0; border-top: 1px solid black;"/> <p style="text-align: center;"><i>(Signature of Person Mailing Correspondence)</i></p> <div style="margin-top: 10px;"> <p style="text-align: center;">EV 555565102 US</p> <hr style="border: 0; border-top: 1px solid black;"/> <p style="text-align: center;"><i>(“Express Mail” Mailing Label Number)</i></p> </div> </div> </div> </div>				
<p>Note: Each paper must have its own certificate of mailing.</p>				